John Martin

Registration Number: R7341

Wallaceburg, Ontario

HEARING DATE: September 24, 2009

MISCONDUCT: Ont. Reg. 991, ss. 14(1) and 15(13)

PARTICULARS OF THE COMPLAINT:

In November 2008 a Conservation Officer with the Ministry of Natural Resources, lodged a complaint with RIBO alleging that Mr. John Martin had backdated insurance documents for a member of the public to confirm that insurance had been placed on a snowmobile.

The Conservation Officer advised RIBO that Mr. Martin was charged with a provincial offence and that on September 4, 2008, Mr. John Martin pled guilty to "knowingly make a false statement of fact by providing false information to a Conservation Officer in request to placing insurance on a 1989 Polaris Indy snowmobile", for the purposes of providing evidence of insurance.

DISCIPLINE COMMITTEE'S DISPOSITION:

The Discipline Committee finds that there is sufficient evidence in support of the plea of guilty by John Martin to the allegations contained in the Direction under the Particulars of the Complaint and find that John Martin is guilty of misconduct as alleged therein, and ordered:

- (a) That John Martin attends the IBAO Advanced Broker Preparation Weeks Course and passes both the Unrestricted Management and Unrestricted Technical exams. This is to be completed no later than December 31, 2010.
- (b) That John Martin pay a fine in the amount of \$1,000.00 made payable to the Minister of Finance and to be received by RIBO no later than November 30, 2009.
- (c) That John Martin be reprimanded.