

Adriano D'Amario
Registration Number: R38874
Newmarket, Ontario

HEARING DATE: September 26, 2019

MISCONDUCT: Ontario Regulation 991, ss. 14.1 and 14.4

PARTICULARS OF THE COMPLAINTS:

At all material times Adriano D'Amario was a registered insurance broker conducting business in the Concord, Ontario area.

As a result of information received from clients of Mr. D'Amario it appeared that Adriano D'Amario committed misconduct by signing a Letter of Authorization on behalf of his clients appointing another brokerage without their consent.

DISCIPLINE COMMITTEE'S DISPOSITION:

The Discipline Committee accepted the plea of guilty by Adriano D'Amario and accepted the evidence adduced by RIBO in support of the plea of guilty, including an Agreed Statement of Fact and Joint Submission as to penalty, signed on January 14, 2019 by Adriano D'Amario and found that Adriano D'Amario was guilty of misconduct as set out in the Direction. The Discipline Committee, therefore, ordered:

- (a) That Adriano D'Amario be formally reprimanded for his actions.
- (b) That Adriano D'Amario pay a fine by cheque in the amount of \$1,000.00 made payable to the Minister of Finance and to be received by RIBO no later than September 26, 2019.
- (c) That Adriano D'Amario take and complete the Insurance Institute Ethics and the Insurance Professional course before September 30, 2019.