

Hollie Allard-Carroll
Registration Number: D31971
Chapeau, Quebec

HEARING DATE: November 24, 2016

MISCONDUCT: Ontario Regulation 991, ss. 14(1) and 14(3)

PARTICULARS OF THE COMPLAINT:

Hollie Allard-Carroll was a registered insurance broker conducting business in the Pembroke area.

As a result of allegations made by her former employer, it appears that Hollie Allard-Carroll may have failed to discharge the member's duties to insurers with integrity and may have failed to provide a quality of service at least equal to that which member's would generally expect of a member in a like situation by failing to disclose important underwriting information with respect to her own home insurance application and submitting the misrepresented information to the insurance company.

DISCIPLINE COMMITTEE'S DISPOSITION:

The Discipline Committee accepted the plea of guilty by Hollie Allard-Carroll and accepts the evidence adduced by RIBO in support of the plea of guilty, including an Agreed Statement of Fact and Joint Submission as to penalty, signed on November 15, 2016 by Hollie Allard-Carroll, and finds that Hollie Allard-Carroll is guilty of misconduct as set out in the Direction. The Discipline Committee, therefore, ordered:

- (a) that Hollie Allard-Carroll is formally reprimanded by the Discipline Committee.
- (b) that the Discipline Committee make a recommendation to the Qualification and Registration Committee that should Hollie Allard-Carroll wish to reapply for registration with RIBO she will need to meet the criteria of the Qualification and Registration Committee on an application for a Certificate of Registration.
- (c) that Hollie Allard-Carroll will be required to successfully pass the RIBO Level 1 examination and a recommendation will be made to the Qualification and Registration Committee that Hollie Allard-Carroll's license be restricted to "Acting under Supervision" for not less than five (5) years from the date of successfully passing the RIBO exam.