

May Chan
Registration Number: D14712
Scarborough, Ontario

HEARING DATE: March 26, 2015

MISCONDUCT: Ont. Reg. 991, s. 14(1)

PARTICULARS OF THE COMPLAINT:

May Chan performed duties of a registered insurance broker for clients of a brokerage while employed at another brokerage.

DISCIPLINE COMMITTEE'S DISPOSITION:

At the onset of the hearing a panel member recused himself from the hearing due to a potential conflict of interest.

The Discipline Committee noted that an email from May Chan dated March 26, 2015 was presented to them, giving Counsel for RIBO, authority to act as her agent to enter the plea of guilty and to speak on her behalf at this hearing.

The Discipline Committee found that there was sufficient evidence in support of the plea of guilty and found that May Chan is guilty of misconduct as alleged in the Direction. The Discipline Committee therefore ordered:

- (a) That the Certificate of Registration of May Chan be suspended for a period of five (5) months commencing on March 26, 2015.
- (b) That the Certificate of Registration of May Chan remain restricted to "Acting under Supervision."
- (c) That the Discipline Committee will make a recommendation to the Qualification and Registration Committee that they not consider removing the restriction for not less than five (5) years from the date of this Decision.