

*An order that is made regarding a licence holder reflects a situation at a particular point in time. The status of a licence holder can change. Readers should check the current status of a person's or entity's licence on the [Licensing Link](#) section of FSCO's website. Readers may also wish to contact the person or entity directly to get additional information or clarification about the events that resulted in the order.*

Superintendent of  
Financial  
Services



surintendant des  
services  
financiers

---

**REGARDING** the *Insurance Act*, R.S.O. 1990, c. I.8, as amended (the "Act"), in particular, sections 393(9) – 393(11)

**AND REGARDING** Daniel Mullins, life insurance agent

**ORDER**

Pursuant to Minutes of Settlement and attached Schedule A, all of which are filed, I hereby order the following suspension and conditions on Mr Mullins' licence as an insurance agent:

1. The life insurance agent licence of Daniel Christopher Mullins, (licence number 04083556) shall be suspended from May 26, 2010 until December 31, 2010.
2. After December 31, 2010, Mr. Mullins' license shall only be reinstated if:
  - (a) Mr. Mullins provides proof satisfactory to the Superintendent that he has obtained errors and omissions coverage insurance in accordance with Ontario Regulation 347/04.
  - (b) Mr. Mullins has completed a course dealing with professional ethics, responsibilities, and compliance and provides the Superintendent with proof of satisfactory completion of that course. Mr. Mullins shall obtain approval of that course beforehand from the Superintendent and shall pay any costs associated with that course. This requirement shall be in addition to the continuing education requirements imposed by Ontario Regulation 347/04.
3. For a period of eighteen months, commencing on the date that Mr. Mullins' licence is reinstated, Mr. Mullins shall mail a letter to the Superintendent each

month, bearing a postmark not later than the fifteenth day of each month, listing all insurance applications he submitted to insurance companies during the preceding month. The letter shall not include the actual applications.

Dated at Toronto, May 21, 2010

\_\_\_\_\_  
Grant Swanson, Executive Director  
Licensing and Market Conduct Division  
by delegated authority from the  
Superintendent of Financial Services

TO: Daniel Christopher Mullins  
422 Willow Rd.  
Guelph, Ontario  
N1H 6L9