



REGARDING the *Insurance Act*, R.S.O. 1990, c. I.8, as amended (the “Act”), in particular sections 441.1, 441.2 and 441.3;

AND REGARDING Roberta Anne Mattocks

ORDER TO IMPOSE AN ADMINISTRATIVE PENALTY

Roberta Anne Mattocks (“Ms. Mattocks”) is a licensed life insurance agent (licence #08105099) under the Act.

On September 4, 2018, the Superintendent of Financial Services (the “Superintendent”) issued a Notice of Proposal to Impose an Administrative Penalty in the amount of \$2,750 (the “Notice”) on Ms. Mattocks for furnishing false, misleading or incomplete information to the Financial Services Commission of Ontario contrary to clause 447(2)(a) of the Act and for failing to maintain errors and omissions insurance coverage contrary to section 13 of Ontario Regulation 347/04.

Canada Post records indicate that the Notice was delivered on September 28, 2018. Ms. Mattocks had fifteen (15) days after the Notice was given to request a hearing before the Financial Services Tribunal (the “Tribunal”) in accordance with subsection 441.3(5) of the Act.

The Registrar of the Tribunal confirmed on October 24, 2018, that no request for a hearing had been filed by Ms. Mattocks or anyone acting on her behalf.

Subsection 441.3(7) of the Act provides that the Superintendent may carry out the proposal when no hearing has been requested.

ORDER

An administrative penalty of \$2,750 is imposed on Roberta Anne Mattocks.

TAKE NOTICE THAT Ms. Mattocks will be receiving shortly an invoice from Ontario Shared Services, a part of the Ministry of Government and Consumer Services, with information as to where and how to make the payment. Ms. Mattocks must pay the administrative penalty no later than 30 days after the date of the invoice.

If Ms. Mattocks fails to pay the administrative penalty in accordance with the terms of this Order, the Superintendent may file the Order with the Superior Court of Justice and the Order may be enforced as if it were an order of the court. An administrative penalty

that is not paid in accordance with the terms of an order imposing the penalty is a debt due to the Crown and is enforceable as such.

DATED at Toronto, Ontario, on the day of November, 2018

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Executive Director, Licensing and Market Conduct Division

By Delegated Authority from
The Superintendent of Financial Services