



REGARDING the *Insurance Act*, R.S.O. 1990, c. I.8, as amended, in particular sections 441.1, 441.2 and 441.3

AND REGARDING Trisha Dennis

ADMINISTRATIVE PENALTY ORDER

Trisha Dennis (“Ms. Dennis”) holds a life insurance and accident and sickness insurance agent licence issued to her under the terms of the *Insurance Act* (the “Act”). Her licence number is 08102486.

On June 7, 2016, the Superintendent of Financial Services (“Superintendent”) issued a Notice of Proposal to Impose an Administrative Penalty (the “notice of proposal”) in the amount of \$1,700 on Ms. Dennis. The Superintendent determined that Ms. Dennis contravened section 13 of Ontario Regulation 347/04 made under the Act by failing to maintain errors and omissions insurance coverage at all times while licensed as a life insurance and accident and sickness insurance agent, specifically during the period from August 14, 2014, to October 6, 2015.

Ms. Dennis requested a hearing before the Financial Services Tribunal in accordance with the Act. At a pre-hearing teleconference on August 11, 2016, the parties agreed to a written hearing in lieu of an oral hearing. In a decision dated November 7, 2016, the Tribunal directed the Superintendent to impose an administrative penalty of \$1,700 on Ms. Dennis.

ORDER

Pursuant to section 441.3 of the Act, an administrative penalty of \$1,700 is imposed on Trisha Dennis.

TAKE NOTICE THAT Trisha Dennis will be receiving shortly an invoice from Ontario Shared Services, a part of the Ministry of Government and Consumer Services, with information as to where and how to make the payment. Trisha Dennis must pay the administrative penalty no later than 30 days after the date of the invoice.

