



REGARDING the *Insurance Act*, R.S.O. 1990, c. I.8, as amended (the “Act”), in particular sections 441.1, 441.2 and 441.3 and 392.2(6) (formerly section 393(23))

AND REGARDING Mr. Shawn Jason Redford (“Mr. Redford”), a licensed Life Insurance and Accident and Sickness Insurance Agent (#99058160)

**ORDER TO IMPOSE AN ADMINISTRATIVE
MONETARY PENALTY**

Mr. Redford is a licensed life insurance and accident and sickness insurance agent under the Act.

On June 17, 2014, the Superintendent of Financial Services (“Superintendent”) issued a Notice of Proposal to impose an Administrative Monetary Penalty in the amount of \$8,900 on Mr. Redford. The Superintendent had determined that Mr. Redford had contravened section 392.2(6) (formerly section 393(23)) of the Act by acting as an insurance agent without a licence.

Mr. Redford requested a hearing before the Financial Services Tribunal (“Tribunal”) in accordance with the Act. A hearing before the Tribunal was held on February 4, 2015. By decision dated March 5, 2015, the Tribunal directed the Superintendent to carry out the proposal to impose an administrative monetary penalty in the amount of \$8,900.

ORDER

An Administrative Monetary Penalty in the amount of \$8,900 is imposed on Mr. Shawn Jason Redford.

TAKE NOTICE THAT Mr. Redford will be receiving shortly an invoice from Ontario Shared Services, a part of the Ministry of Government and Consumer Services, with information as to where and how to make the payment. Mr. Redford must pay the administrative monetary penalty no later than 30 days after the date of the invoice.

If Mr. Redford fails to pay the Administrative Monetary Penalty in accordance with the terms of this Order, the Superintendent may file the Order with the Superior Court of Justice and this Order may be enforced as if it were an order of the court. An administrative monetary penalty that is not paid in accordance with the terms of an order imposing the penalty is a debt due to the Crown and is enforceable as such.

DATED at Toronto, Ontario March 13, 2015.

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Executive Director, Licensing and Market Conduct Division

By Delegated Authority from:
The Superintendent of Financial Services