

Symbol, H. Richard

May 8, 2009

Regulatory Body: Insurance Council of Manitoba

Decision Maker: Life Insurance Council

Council determined that this Life Insurance Agent be fined in the amount of \$750.00 and assessed partial investigation costs in the amount of \$750.00 for contravention of *The Insurance Act* of Manitoba Section 113, by failing to follow the client's investment profile and instructions, and in violation of the Life Insurance Agent Code of Conduct, Section 1, Priority of Policyowner Interests and Section 3, Misrepresentation.

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