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**IN THE MATTER OF** the *Insurance Act*, R.S.O. 1990, c. I.8, as amended (the “Act”), in particular sections 392.4 and 407.1;

**AND IN THE MATTER OF** Dorian K. Shortt.

### **ORDER TO REVOKE LICENCE**

On December 6, 2018, the Superintendent of Financial Services (the “Superintendent”) issued a Notice of Proposal to refuse to renew insurance agent licence number 69038517 issued to Dorian K. Shortt under the Act (the “Notice of Proposal”).

A Request for Hearing (Form 1), dated December 20, 2018 (the “Request for Hearing”), was delivered to the Financial Services Tribunal (the “Tribunal”) in accordance with section 407.1(3) of the Act respecting the Notice of Proposal.

The Superintendent and Mr. Shortt resolved the issues arising from the Notice of Proposal and Request for Hearing, and entered into a settlement agreement.

On May 15, 2019, Mr. Shortt withdrew the Request for Hearing. On May 15, 2019, the Tribunal closed its file respecting this matter.

On July 4, 2019, the Superintendent issued a licence to Mr. Shortt with a condition that it would be revoked, on consent, on October 31, 2019.

Effective June 8, 2019, pursuant to the *Financial Services Regulatory Authority of Ontario Act, 2016*, S.O. 2016, c. 37, Sched. 8, the Financial Services Regulatory Authority of Ontario (“FSRA”) became the regulator under the Act and the powers and duties previously vested in the Superintendent were vested in the Chief Executive Officer of FSRA.

### **ORDER**

**The insurance agent licence issued to Dorian K. Shortt (licence # 69038517) is hereby revoked.**

**DATED** at Toronto, Ontario, \_\_\_\_\_, 2019.

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Huston Loke  
Executive Vice President, Market Conduct

By delegated authority from the Chief Executive Officer

**SI VOUS DÉSIREZ RECEVOIR CET AVIS EN FRANÇAIS**, veuillez nous envoyer votre demande par courriel immédiatement à: [contactcentre@fsrao.ca](mailto:contactcentre@fsrao.ca).